

Elaine Newton

From: Kimberly L. Sikora Panza
Sent: Wednesday, April 05, 2017 7:02 AM
To: Elaine Newton
Subject: FW: Bannon CD request

Elaine – response to your Q.

Thanks,

Kim

From: Schultz, James D. EOP/WHO [mailto:(b) (6)]
Sent: Tuesday, April 04, 2017 10:43 PM
To: Kimberly L. Sikora Panza
Subject: Re: Bannon CD request

Confirmed.

James D. Schultz

Senior Associate Counsel and Special Assistant to the President

Office of White House Counsel

(b) (6) (m)

On Apr 4, 2017, at 3:39 PM, Kimberly L. Sikora Panza <klspanza@oge.gov> wrote:

Hi Jim,

I have one additional question.

(b) (5)

Thanks,

Kim

From: Schultz, James D. EOP/WHO [mailto:(b) (6)]
Sent: Tuesday, April 04, 2017 9:48 AM
To: Kimberly L. Sikora Panza
Subject: RE: Bannon CD request

Yes.

JAMES D. SCHULTZ
Senior Associate Counsel and Special Assistant to the President
Office of the White House Counsel

(b) (6) (o)

(b) (6) (m)

From: Kimberly L. Sikora Panza [mailto:klspanza@oge.gov]

Sent: Tuesday, April 4, 2017 9:47 AM

To: Schultz, James D. EOP/WHO <(b) (6)>

Subject: RE: Bannon CD request

Good morning, Jim,

Thanks for your email. I am available to speak now, if you are free – is the (b) (6) number the best number to reach you?

Thanks,

Kim

From: Schultz, James D. EOP/WHO [mailto:(b) (6)]

Sent: Tuesday, April 04, 2017 9:22 AM

To: Kimberly L. Sikora Panza

Subject: RE: Bannon CD request

Kim – as you know, Steve's 278 has been finalized. Can we talk this morning regarding the COD?

JAMES D. SCHULTZ
Senior Associate Counsel and Special Assistant to the President
Office of the White House Counsel

(b) (6) (o)

(b) (6) (m)

From: Kimberly L. Sikora Panza [mailto:klspanza@oge.gov]

Sent: Friday, March 24, 2017 4:39 PM

To: Schultz, James D. EOP/WHO <(b) (6)>

Subject: RE: Bannon CD request

Good afternoon, Jim,

I hope you are well.

I am writing to touch base regarding the Bannon CD, and follow up re: a signed version of the report and my clarification questions below. At your convenience, could you please let me know the status of things?

Thank you in advance for your input.

Best regards,

Kim

From: Kimberly L. Sikora Panza
Sent: Wednesday, March 15, 2017 12:44 PM
To: 'Schultz, James D. EOP/WHO'
Subject: RE: Bannon CD request

Thank you for the additional information. Could you please let me know when the signed version of the report is available?

I also would appreciate your help clarifying a few items on the report. Could you please provide a brief description of the following companies:

(b)(3), (5), (6)



Thank you in advance for your input.

Best regards,

Kim

From: Schultz, James D. EOP/WHO [mailto:(b) (6)]
Sent: Monday, March 13, 2017 9:26 AM
To: Kimberly L. Sikora Panza
Subject: Re: Bannon CD request

The report will be available shortly.

(b)(3), (5), (6)



(b)(3), (5), (6)



James D. Schultz

Senior Associate Counsel and Special Assistant to the President

Office of White House Counsel

(b) (6) (m)

On Mar 8, 2017, at 2:48 PM, Kimberly L. Sikora Panza <klspanza@oge.gov> wrote:

Good afternoon,

I am the OGE reviewer assigned to review the CD request for Mr. Bannon. I have the following questions regarding the CD request – thank you in advance for your input!

(b)(3), (5), (6)



Finally, for your future reference, attached please find the sample CD request format, which asks questions regarding the various pieces of information we need to process a CD request. Most agencies submit CD requests using this form, and using the form can help streamline the CD review process on our end.

Thank you in advance for your input.

Best regards,

Kim

OGE Confidential Notice: This email, including all attachments, may constitute a Federal record or other Government property that is intended only for the use of the individual or entity to which it is addressed. This email also may contain information that is privileged, confidential, or otherwise protected from disclosure under applicable law. If you are not the intended recipient or the employee or agent responsible for delivering the transmission to the intended recipient, you are hereby notified that any dissemination, distribution, copying or use of this email or its contents is strictly prohibited. If you have received this email in error, please notify the sender by responding to the email and then immediately delete the email.

<cd request format.pdf>

OGE Confidential Notice: This email, including all attachments, may constitute a Federal record or other Government property that is intended only for the use of the individual or entity to which it is addressed. This email also may contain information that is privileged, confidential, or otherwise protected from disclosure under applicable law. If you are not the intended recipient or the employee or agent responsible for delivering the transmission to the intended recipient, you are hereby notified that any dissemination, distribution, copying or use of this email or its contents is strictly prohibited. If you have received this email in error, please notify the sender by responding to the email and then immediately delete the email.

OGE Confidential Notice: This email, including all attachments, may constitute a Federal record or other Government property that is intended only for the use of the individual or entity to which it is addressed. This email also may contain information that is privileged, confidential, or otherwise protected from disclosure under applicable law. If you are not the intended recipient or the employee or agent responsible for delivering the transmission to the intended recipient, you are hereby notified that any dissemination, distribution, copying or use of this email or its contents is strictly prohibited. If you have received this email in error, please notify the sender by responding to the email and then immediately delete the email.

OGE Confidential Notice: This email, including all attachments, may constitute a Federal record or other Government property that is intended only for the use of the individual or entity to which it is addressed. This email also may contain information that is privileged, confidential, or otherwise protected from disclosure under applicable law. If you are not the intended recipient or the employee or agent responsible for delivering the transmission to the intended recipient, you are hereby notified that any dissemination, distribution, copying or use of this email or its contents is strictly prohibited. If you have received this email in error, please notify the sender by responding to the email and then immediately delete the email.

From: [Schultz, James D. EOP/WHO](#)
To: [Patrick J. Lightfoot](#)
Subject: RE: Conway Responses
Date: Tuesday, July 18, 2017 11:11:45 AM

Patrick - please call me.

JAMES D. SCHULTZ
Senior Associate Counsel and Special Assistant to the President
Office of the White House Counsel
(b) (6) (o)
(b) (6) (m)

-----Original Message-----

From: Patrick J. Lightfoot [<mailto:pjlightf@oge.gov>]
Sent: Tuesday, July 18, 2017 9:40 AM
To: Schultz, James D. EOP/WHO <(b) (6)>
Subject: RE: Conway Responses

Hi Jim,

Just wanted to follow-up on the official notification date. (b)(3), (5), (6)

Thanks!

-----Original Message-----

From: Schultz, James D. EOP/WHO [<mailto:pjlightf@oge.gov>] (b) (6)
Sent: Monday, July 17, 2017 6:20 PM
To: Patrick J. Lightfoot
Subject: RE: Conway Responses

Patrick - (b)(3), (5), (6)

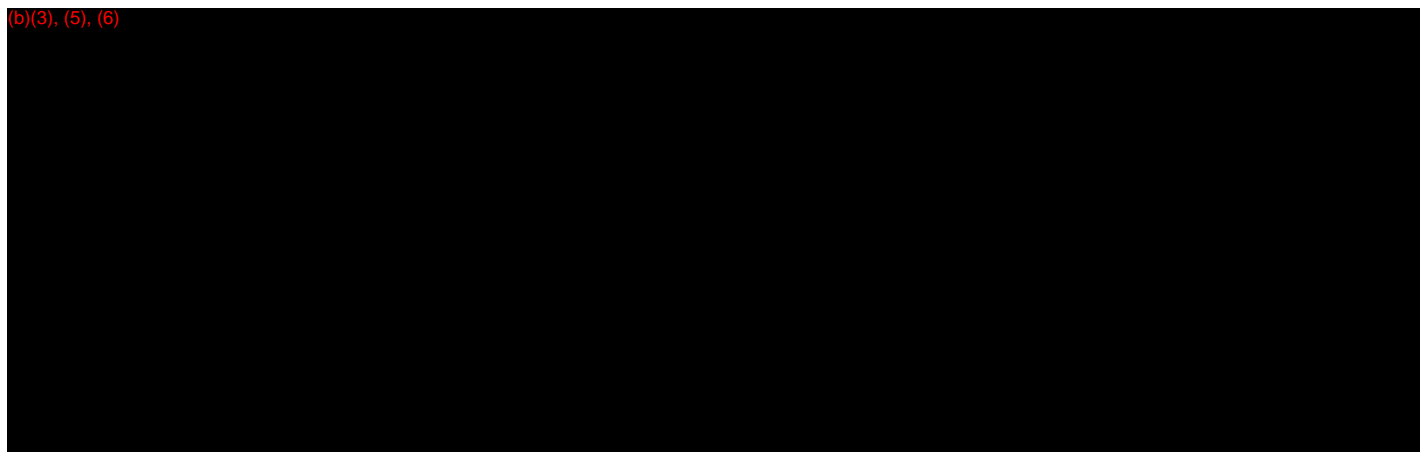
JAMES D. SCHULTZ
Senior Associate Counsel and Special Assistant to the President Office of the White House Counsel
(b) (6) (o)
(b) (6) (m)

-----Original Message-----

From: Schultz, James D. EOP/WHO
Sent: Monday, July 17, 2017 6:15 PM
To: 'Patrick J. Lightfoot' <pjlightf@oge.gov>
Subject: RE: Conway Responses

(b)(3), (4), (5), (6)

(b)(3), (5), (6)



JAMES D. SCHULTZ

Senior Associate Counsel and Special Assistant to the President Office of the White House Counsel

(b) (6) (o)

(b) (6) (m)

-----Original Message-----

From: Patrick J. Lightfoot [<mailto:pjlightf@oge.gov>]

Sent: Monday, July 17, 2017 3:05 PM

To: Schultz, James D. EOP/WHO (b) (6) >

Subject: RE: Conway Responses

No problem, I've copied the original email below:

From: Patrick J. Lightfoot

Sent: Thursday, June 08, 2017 3:09 PM

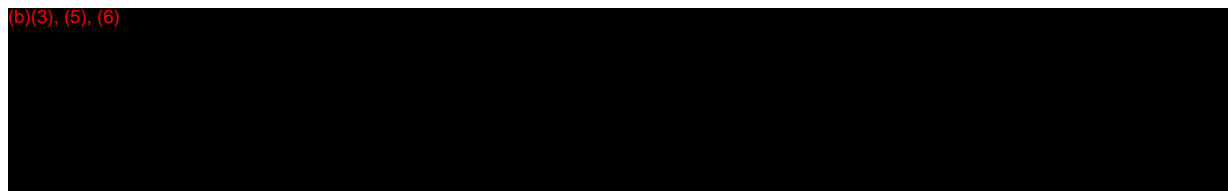
To: 'Schultz, James D. EOP/WHO'

Subject: RE: Conway CD request

Hi Jim,

Below are my follow-up questions/comments on Ms. Conway's CD request:

(b)(3), (5), (6)



Thanks!

--Patrick

-----Original Message-----

From: Schultz, James D. EOP/WHO [<mailto:pjlightf@oge.gov>] (b) (6)

Sent: Monday, July 17, 2017 3:01 PM

To: Patrick J. Lightfoot

Subject: RE: Conway Responses

Patrick - could you resend me the CD questions? I do not recall them and maybe thought they were the 278 questions. J

JAMES D. SCHULTZ

Senior Associate Counsel and Special Assistant to the President Office of the White House Counsel

(b) (6) (o)
(b) (6) (m)

-----Original Message-----

From: Patrick J. Lightfoot [<mailto:pjlightf@oge.gov>]

Sent: Monday, July 17, 2017 2:57 PM

To: Schultz, James D. EOP/WHO (b) (6) >

Subject: RE: Conway Responses

Hi Jim,

Following up on the status of this along with the initial questions on Ms. Conway's CD request (sent 6/8).

Thanks!

--Patrick

--

Patrick J. Lightfoot

Assistant Counsel, Ethics Law & Policy Branch General Counsel & Legal Policy Division

U.S. Office of Government Ethics
1201 New York Ave NW, Suite 500
Washington, DC 20005
Telephone: (202) 482-9271

Visit OGE's website: >>>www.oge.gov

Follow OGE on Twitter: @OfficeGovEthics<<<

-----Original Message-----

From: Patrick J. Lightfoot

Sent: Monday, June 12, 2017 1:57 PM

To: 'Schultz, James D. EOP/WHO'

Subject: RE: Conway Responses

Hi Jim,

No attachment found in search

Please see our second round of questions in the attached doc. The notes in red were your responses to initial questions. The report has been returned in Integrity.

--Patrick

-----Original Message-----

From: Schultz, James D. EOP/WHO [<mailto:pjlightf@oge.gov>] (b) (6)

Sent: Monday, June 05, 2017 7:24 PM

To: Patrick J. Lightfoot

Subject: Conway Responses

Patrick - you should have the certified 278 in the morning.

JAMES D. SCHULTZ

Senior Associate Counsel and Special Assistant to the President Office of the White House Counsel

(b) (6) (o)
(b) (6) (m)

OGE Confidential Notice: This message may contain Controlled Unclassified Information (CUI) that requires safeguarding or dissemination control under applicable law, regulation, or Government-wide policy. This email, including all attachments, may constitute a Federal record or other Government property that is intended only for the use of the individual or entity to which it is addressed. If you are not the intended recipient or the employee or agent responsible for delivering the transmission to the intended recipient, you are hereby notified that any dissemination, distribution, copying or use of this email or its contents is strictly prohibited. If you have received this email in error, please notify the sender by responding to the email and then immediately delete the email.

From: [Patrick J. Lightfoot](#)
To: ["Schultz, James D. EOP/WHO"](#)
Subject: RE: Conway CD request
Date: Thursday, June 8, 2017 3:09:06 PM

Hi Jim,

Below are my follow-up questions/comments on Ms. Conway's CD request:

(b)(3), (5), (6)



Thanks!

--Patrick

--

Patrick J. Lightfoot
Assistant Counsel, Ethics Law & Policy Branch
General Counsel & Legal Policy Division

U.S. Office of Government Ethics
1201 New York Ave NW, Suite 500
Washington, DC 20005
Telephone: (202) 482-9271

Visit OGE's website: www.oge.gov
Follow OGE on Twitter: @OfficeGovEthics

-----Original Message-----

From: Elaine Newton
Sent: Wednesday, June 07, 2017 11:29 AM
To: Patrick J. Lightfoot
Subject: FW: See attached.

-----Original Message-----

From: Schultz, James D. EOP/WHO [[mailto:\(b\) \(6\)](#)]
Sent: Tuesday, June 06, 2017 12:04 PM

To: David J. Apol
Cc: Elaine Newton
Subject: RE: See attached.

Thank you. Elaine, I will be the point of contact on this; however, the appointee is represented by private counsel as well. J

JAMES D. SCHULTZ

Senior Associate Counsel and Special Assistant to the President Office of the White House Counsel

(b) (6) (o)

(b) (6) (m)

-----Original Message-----

From: David J. Apol [<mailto:djapol@oge.gov>]

Sent: Tuesday, June 6, 2017 12:02 PM

To: Schultz, James D. EOP/WHO <(b) (6)>

Cc: Elaine Newton <enewton@oge.gov>

Subject: RE: See attached.

Jim,

I'm forwarding this to Elaine to assign. We will get back to you.

Dave

-----Original Message-----

From: Schultz, James D. EOP/WHO [[\(b\) \(6\)](mailto:(b) (6))]

Sent: Monday, June 05, 2017 7:49 PM

To: David J. Apol

Subject: See attached.

JAMES D. SCHULTZ

Senior Associate Counsel and Special Assistant to the President Office of the White House Counsel

(b) (6) (o)

(b) (6) (m)

OGE Confidential Notice: This message may contain Controlled Unclassified Information (CUI) that requires safeguarding or dissemination control under applicable law, regulation, or Government-

wide policy. This email, including all attachments, may constitute a Federal record or other Government property that is intended only for the use of the individual or entity to which it is addressed. If you are not the intended recipient or the employee or agent responsible for delivering the transmission to the intended recipient, you are hereby notified that any dissemination, distribution, copying or use of this email or its contents is strictly prohibited. If you have received this email in error, please notify the sender by responding to the email and then immediately delete the email.

From: [Kimberly L. Sikora Panza](#)
To: [Monica M. G. Ashar](#)
Subject: 000
Date: Friday, April 7, 2017 6:46:46 PM
Attachments: [RE Bannon CD request.msg](#)

Attachment is an email that can be located on page 16 of the records.

Hi Monica,
I have CWS Monday.
Noms:

(b) (5)



Desk Officer – nada

Waivers – got stuff I’m working on, nothing for you to worry about

CD – Dave had me ask Jim some follow up Qs to the Bannon CD request this afternoon (See attached). Jim still hasn’t responded. If anything comes in, I would forward it directly to Dave.

I think that is it!

Kim

From: [Kimberly L. Sikora Panza](#)
To: [David J. Apol](#)
Subject: FW: Bannon CD request
Date: Tuesday, April 11, 2017 7:09:02 AM

Hi Dave,

Below please find Jim's responses to your questions.

I am available to discuss at your convenience.


Kim

From: Schultz, James D. EOP/WHO [mailto:(b) (6)]
Sent: Monday, April 10, 2017 3:04 PM
To: Kimberly L. Sikora Panza
Subject: RE: Bannon CD request

Hi Jim,

The CD package is with Dave right now, and he wanted me to ask you these follow up questions:

(b)(3), (4), (5), (6)



JAMES D. SCHULTZ
Senior Associate Counsel and Special Assistant to the President

Office of the White House Counsel

(b) (6) (o)

(b) (6) (m)

From: Kimberly L. Sikora Panza [<mailto:klspanza@oge.gov>]

Sent: Friday, April 7, 2017 1:25 PM

To: Schultz, James D. EOP/WHO <(b) (6)>

Subject: RE: Bannon CD request

Hi Jim,

The CD package is with Dave right now, and he wanted me to ask you these follow up questions:

(b)(3), (5), (6)



Thank you in advance for your input on these additional questions related to the CD. (As you know, I also am the reviewer for Mr. Bannon's New Entrant report; we will be sending questions on his report (not related to the CD) separately.)

Kim

From: Schultz, James D. EOP/WHO [[\(b\) \(6\)](mailto:(b) (6))]

Sent: Thursday, April 06, 2017 11:46 AM

To: Kimberly L. Sikora Panza

Subject: Re: Bannon CD request

Jim. I believe I responded. (b)(3), (5), (6) Any update on the COD?

James D. Schultz

Senior Associate Counsel and Special Assistant to the President

Office of White House Counsel

(b) (6) (m)

On Apr 4, 2017, at 3:39 PM, Kimberly L. Sikora Panza <klspanza@oge.gov> wrote:

Hi Jim,

I have one additional question.

(b) (5)

Thanks,

Kim

From: Schultz, James D. EOP/WHO [mailto:(b) (6)]
Sent: Tuesday, April 04, 2017 9:48 AM
To: Kimberly L. Sikora Panza
Subject: RE: Bannon CD request

Yes.

JAMES D. SCHULTZ

Senior Associate Counsel and Special Assistant to the President
Office of the White House Counsel

(b) (6) (o)

(b) (6) (m)

From: Kimberly L. Sikora Panza [mailto:klspanza@oge.gov]
Sent: Tuesday, April 4, 2017 9:47 AM
To: Schultz, James D. EOP/WHO (b) (6) >
Subject: RE: Bannon CD request

Good morning, Jim,

Thanks for your email. I am available to speak now, if you are free – is the
(b) (6) number the best number to reach you?

Thanks,

Kim

From: Schultz, James D. EOP/WHO [mailto:(b) (6)]
Sent: Tuesday, April 04, 2017 9:22 AM
To: Kimberly L. Sikora Panza
Subject: RE: Bannon CD request

Kim – as you know, Steve’s 278 has been finalized. Can we talk this morning regarding the COD?

JAMES D. SCHULTZ

Senior Associate Counsel and Special Assistant to the President
Office of the White House Counsel

(b) (6) (o)

(b) (6) (m)

From: Kimberly L. Sikora Panza [mailto:klspanza@oge.gov]
Sent: Friday, March 24, 2017 4:39 PM
To: Schultz, James D. EOP/WHO (b) (6) >

Subject: RE: Bannon CD request

Good afternoon, Jim,

I hope you are well.

I am writing to touch base regarding the Bannon CD, and follow up re: a signed version of the report and my clarification questions below. At your convenience, could you please let me know the status of things?

Thank you in advance for your input.

Best regards,

Kim

From: Kimberly L. Sikora Panza

Sent: Wednesday, March 15, 2017 12:44 PM

To: 'Schultz, James D. EOP/WHO'

Subject: RE: Bannon CD request

Thank you for the additional information. Could you please let me know when the signed version of the report is available?

I also would appreciate your help clarifying a few items on the report. Could you please provide a brief description of the following companies:

(b)(3), (5), (6)



Thank you in advance for your input.

Best regards,

Kim

From: Schultz, James D. EOP/WHO [[mailto:\(b\) \(6\)](#)]

Sent: Monday, March 13, 2017 9:26 AM

To: Kimberly L. Sikora Panza

Subject: Re: Bannon CD request

The report will be available shortly.

(b)(3), (5), (6)



(b)(3), (5), (6)



James D. Schultz

Senior Associate Counsel and Special Assistant to the President

Office of White House Counsel

(b) (6) (m)

On Mar 8, 2017, at 2:48 PM, Kimberly L. Sikora Panza <klspanza@oge.gov> wrote:

Good afternoon,

2 page attachment withheld in full - (b)(3), (5), (6)

I am the OGE reviewer assigned to review the CD request for Mr. Bannon.
I have the following questions regarding the CD request – thank you in

(b)(3), (5), (6)



Finally, for your future reference, attached please find the sample CD request format, which asks questions regarding the various pieces of information we need to process a CD request. Most agencies submit CD requests using this form, and using the form can help streamline the CD review process on our end.

Thank you in advance for your input.

Best regards,

Kim

OGE Confidential Notice: This email, including all attachments, may constitute a Federal record or other Government property that is intended only for the use of the individual or entity to which it is

addressed. This email also may contain information that is privileged, confidential, or otherwise protected from disclosure under applicable law. If you are not the intended recipient or the employee or agent responsible for delivering the transmission to the intended recipient, you are hereby notified that any dissemination, distribution, copying or use of this email or its contents is strictly prohibited. If you have received this email in error, please notify the sender by responding to the email and then immediately delete the email.

OGE Confidential Notice: This email, including all attachments, may constitute a Federal record or other Government property that is intended only for the use of the individual or entity to which it is addressed. This email also may contain information that is privileged, confidential, or otherwise protected from disclosure under applicable law. If you are not the intended recipient or the employee or agent responsible for delivering the transmission to the intended recipient, you are hereby notified that any dissemination, distribution, copying or use of this email or its contents is strictly prohibited. If you have received this email in error, please notify the sender by responding to the email and then immediately delete the email.

OGE Confidential Notice: This email, including all attachments, may constitute a Federal record or other Government property that is intended only for the use of the individual or entity to which it is addressed. This email also may contain information that is privileged, confidential, or otherwise protected from disclosure under applicable law. If you are not the intended recipient or the employee or agent responsible for delivering the transmission to the intended recipient, you are hereby notified that any dissemination, distribution, copying or use of this email or its contents is strictly prohibited. If you have received this email in error, please notify the sender by responding to the email and then immediately delete the email.

OGE Confidential Notice: This email, including all attachments, may constitute a Federal record or other Government property that is intended only for the use of the individual or entity to which it is addressed. This email also may contain information that is privileged, confidential, or otherwise protected from disclosure under applicable law. If you are not the intended recipient or the employee or agent responsible for delivering the transmission to the intended recipient, you are hereby notified that any dissemination, distribution, copying or use of this email or its contents is strictly prohibited. If you have received this email in error, please notify the sender by responding to the email and then immediately delete the email.

OGE Confidential Notice: This email, including all attachments, may constitute a Federal record or other Government property that is intended only for the use of the individual or entity to which it is addressed. This email also may contain information that is privileged, confidential, or otherwise protected from disclosure under applicable law. If you are not the intended recipient or the employee or agent responsible for delivering the transmission to the intended recipient, you are hereby notified that any dissemination, distribution, copying or use of this email or its contents is strictly prohibited. If you have received this email in error, please notify the sender by responding to the email and then immediately delete the email.

From: [Megan V. Khaner](#)
To: [Lorna A. Syme](#)
Subject: FW: DINA POWELL
Date: Friday, February 17, 2017 9:49:24 AM
Attachments: [SCHULTZ DESIGNATION.PDF](#)
[POWELL COD PACKAGE.PDF](#)
[DP Form 278e \(Draft 1-23-17\) \(initial filing\) \(002\).pdf](#)

4 page attachment withheld in full - (b)(3), (5), (6); 278 financial disclosure report withheld in full - (b)(3) - Available at <https://extapps2.oga.gov/2017/president.nsf/PAS%20Index?OpenView>; remaining of the attachment released below.

Here's the request. I haven't done much with it because I got caught up on a nom...

-----Original Message-----

From: Elaine Newton
Sent: Wednesday, February 15, 2017 11:16 AM
To: Megan V. Khaner
Subject: FW: DINA POWELL

Good Morning,

No attachment found in search

Please review the new CD request, which is attached. If you have any questions, please let me know.

Thanks, Elaine

-----Original Message-----

From: David J. Apol
Sent: Tuesday, February 14, 2017 3:37 PM
To: Elaine Newton
Subject: FW: DINA POWELL

Elaine, I think you talked to Seth about assigning this one to Patrick, right?

-----Original Message-----

From: Schultz, James D. EOP/WHO [[mailto:](#)(b) (6)]
Sent: Tuesday, February 14, 2017 8:58 AM
To: David J. Apol
Cc: Schultz, James D. EOP/WHO
Subject: DINA POWELL

No attachment found in search

Attached you will find a COD request for Dina Powell. Thank you. Jim

JAMES D. SCHULTZ

Associate Counsel to the President, Ethics & Compliance Office of the White House Counsel

(b) (6) (o)
(b) (6) (m)

THE WHITE HOUSE

WASHINGTON

January 24, 2017

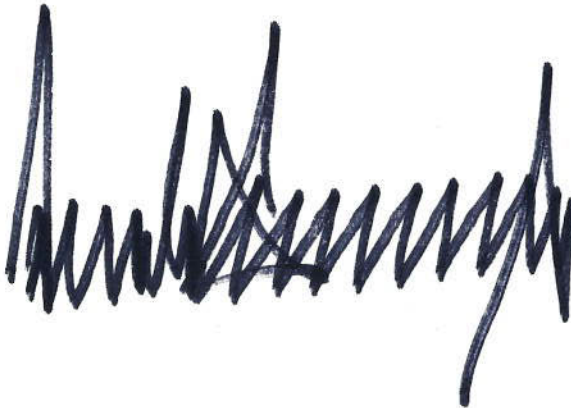
MEMORANDUM FOR JAMES D. SCHULTZ

Associate Counsel to the President

SUBJECT: Designated Alternate Ethics Official for
the White House Office

By virtue of the authority vested in me as President by the Constitution and the laws of the United States of America, including the Ethics in Government Act of 1978, as amended, I hereby appoint Associate Counsel to the President, James D. Schultz, as alternate designated ethics official for the White House Office.

I hereby delegate to you the authority required to coordinate and manage the ethics program for this office.

A handwritten signature in dark ink, appearing to be "Donald Trump", is written over the signature line. The signature is stylized with a large, prominent 'D' and 'T'.

From: [Elaine Newton](#)
To: [Vincent Salamone](#)
Subject: FW: COHN COD REQUEST
Date: Monday, February 27, 2017 9:35:59 AM
Attachments: [COHN FINAL COD PACKAGE.PDF](#)
[JDS DESIGNATION.PDF](#)

1 page attachment withheld in full - (b)(3), (5), (6);
remaining of the attachment released below.

Vince,

On February 6, 2017, OGE received a CD request for Gary Cohn. I assigned the CD request to Jack. When Jack reached out to the White House, he was told that the White House was updating the 278 so they asked that OGE delay reviewing the CD request. On Friday, February 24, OGE received a revised CD request for Mr. Cohn. The revised request, which is attached, does not include an updated 278. Using the initial draft 278, please review the attached CD request to determine (b) (5)

Please let me know if you would like to see the initial draft CD request that OGE received on February 6, 2017.

Thanks, Elaine

-----Original Message-----

From: David J. Apol
Sent: Friday, February 24, 2017 3:36 PM
To: Elaine Newton
Subject: FW: COHN COD REQUEST

-----Original Message-----

From: Schultz, James D. EOP/WHO [[mailto:\(b\) \(6\)](#)]
Sent: Friday, February 24, 2017 2:21 PM
To: David J. Apol
Cc: Mullis, Dianna
Subject: COHN COD REQUEST

No attachment found in search

Dave - attached you will find the Cohn COD Package. Thank you for your assistance. J

JAMES D. SCHULTZ

Senior Associate Counsel and Special Assistant to the President Office of the White House Counsel

(b) (6) (o)
(b) (6) (m)

THE WHITE HOUSE

WASHINGTON

January 24, 2017

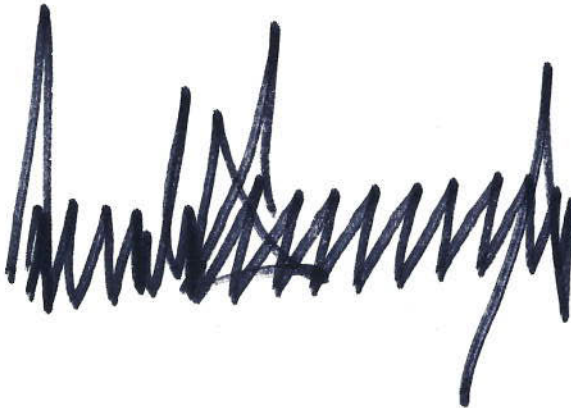
MEMORANDUM FOR JAMES D. SCHULTZ

Associate Counsel to the President

SUBJECT: Designated Alternate Ethics Official for
the White House Office

By virtue of the authority vested in me as President by the Constitution and the laws of the United States of America, including the Ethics in Government Act of 1978, as amended, I hereby appoint Associate Counsel to the President, James D. Schultz, as alternate designated ethics official for the White House Office.

I hereby delegate to you the authority required to coordinate and manage the ethics program for this office.

A handwritten signature in dark ink, appearing to be "Donald Trump", written in a stylized, cursive script.

From: [Swartz, Christopher J. EOP/WHO](#)
To: [Vincent Salamone](#)
Date: Thursday, March 2, 2017 5:09:20 PM

(b)(3), (4), (5), (6)



Christopher J. Swartz
Ethics Counsel
Office of the White House Counsel
(O) (b) (6) / (BB) (b) (6)

From: [Swartz, Christopher J. EOP/WHO](#)
To: [David J. Apol](#)
Cc: [Vincent Salamone](#); [Schultz, James D. EOP/WHO](#)
Subject: Cohn CD
Date: Thursday, March 2, 2017 5:53:40 PM

Dave-

As we just discussed, we have been informed that Mr. Cohn does not anticipate capital gains on the sale of his interests which are under the heading (b) (6). He will divest those assets without seeking a CD.

He continues to seek a CD for the stock and partnership interests in the CD request we sent to your office late last month.

Please do not hesitate to call me if there are any additional questions in this regard.

Christopher J. Swartz
Ethics Counsel
Office of the White House Counsel

(O) (b) (6) / (BB) (b) (6)

From: [Kimberly L. Sikora Panza](#)
To: [Matthew A. Marinec](#)
Subject: RE: Mtg re: CD request
Date: Tuesday, March 7, 2017 9:48:56 AM

Could we do 11?

Thanks!

From: Matthew A. Marinec
Sent: Tuesday, March 07, 2017 9:43 AM
To: Kimberly L. Sikora Panza
Subject: RE: Mtg re: CD request

Sure! The best times for Dave tho are between 11 and 12 or 4 or later, do either of those windows work for you?

From: Kimberly L. Sikora Panza
Sent: Tuesday, March 07, 2017 7:33 AM
To: Matthew A. Marinec; David J. Apol
Subject: Mtg re: CD request

Good morning,

Can I get a meeting with Dave today to discuss the WH CD request I was assigned yesterday? Elaine suggested I should circle up with him regarding my questions, etc.

Thanks,
Kim

From: [Schultz, James D. EOP/WHO](#)
To: [Lorna A. Syme](#)
Subject: Re: OGE Comments/Questions on CD request and New Entrant Report - Powell
Date: Wednesday, March 8, 2017 1:10:03 PM

Her private counsel is handling. I'll follow up

James D. Schultz
Senior Associate Counsel and Special Assistant to the President
Office of White House Counsel
(b) (6) (m)

On Mar 8, 2017, at 11:14 AM, Lorna A. Syme <lasyme@oge.gov> wrote:

Jim:

5 page attachment withheld in full - (b)(3), (4), (5), (6)

I'm just following up on my March 1, 2017 email about my comments and questions on Ms. Powell's CD request and new entrant report.

Please let me know if you have any questions.

Thank you,
Lorna

From: Lorna A. Syme
Sent: Wednesday, March 01, 2017 11:19 AM
To: (b)(6) - James Schultz's email address
Subject: OGE Comments/Questions on CD request and New Entrant Report - Powell

Hi Jim,

5 page attachment withheld in full - (b)(3), (4), (5), (6)

I am the OGE reviewer for Dina Powell's Certificate of Divestiture request and new entrant financial disclosure report. I've completed my initial review of the report and certificate of divestiture and have attached my comments. Please let me know if you have any questions or would like to discuss.

Thank you,

Lorna

Lorna Syme
Presidential Nominations Branch
U.S. Office of Government Ethics
1201 New York Ave., NW, Suite 500
Washington, DC 20005-3917
Telephone: (202) 482-9277

Facsimile: (202) 482-9237

Visit OGE's website: [>>www.oge.gov<<](http://www.oge.gov)

Follow OGE on Twitter: @OfficeGovEthics

OGE Confidential Notice: This email, including all attachments, may constitute a Federal record or other Government property that is intended only for the use of the individual or entity to which it is addressed. This email also may contain information that is privileged, confidential, or otherwise protected from disclosure under applicable law. If you are not the intended recipient or the employee or agent responsible for delivering the transmission to the intended recipient, you are hereby notified that any dissemination, distribution, copying or use of this email or its contents is strictly prohibited. If you have received this email in error, please notify the sender by responding to the email and then immediately delete the email.

<278 and CD questions Powell.docx>

From: [Rodrick T. Johnson](#)
To: "Christopher"; (b)(6) - James Schultz's email address
Cc: [Elaine Newton](#); [Teresa L. Williamson](#); [Sandra S. Mabry](#); [Vincent Salamone](#)
Subject: Certificate of Divestiture for Gary D. Cohn
Date: Wednesday, March 8, 2017 4:03:52 PM
Attachments: [Cohn, Gary D. CD's 025-026.pdf](#)
Importance: High

OGE has created an automated tool to request copies of the CDs, available on OGE's website <https://extapps2.oge.gov/2017/Presiden.nsf/All+Certificates+of+Divestiture>

Good Afternoon, Chris:

Attached is the **Certificate of Divestiture** OGE-2017-025 for Gary D. Cohn, Director of the National Economic Council, White House Office and OGE-2017-026 for Lisa Pevaroff, spouse of Gary Cohn. We would appreciate your forwarding the original certificates to Mr. Cohn. Also enclosed is a copy of the certificates for your files.

The original certificate and one copy for your records will be mailed to you. We would appreciate your forwarding the original certificate to **Mr.** Cohn, upon receipt.

Please confirm receipt of this email by replying to all.

Thanks, Again
Rodrick Johnson

From: [Schultz, James D. EOP/WHO](#)
To: [Lorna A. Syme](#)
Subject: FW: OGE Comments/Questions on CD request and New Entrant Report - Powell
Date: Thursday, March 9, 2017 9:12:02 AM
Attachments: [Powell Responses to OGE \(3-9-17\) \(2\).docx](#)

3 page attachment withheld in full - (b)(3), (4), (5), (6)

Please see attached. Jim

JAMES D. SCHULTZ

Senior Associate Counsel and Special Assistant to the President
Office of the White House Counsel

(b) (6) (o)

(b) (6) (m)

From: Mullis, Dianna [mailto:(b) (6)]
Sent: Thursday, March 9, 2017 9:04 AM
To: Schultz, James D. EOP/WHO (b) (6)
Cc: Swartz, Christopher J. EOP/WHO <(b) (6)>
Subject: RE: OGE Comments/Questions on CD request and New Entrant Report - Powell

Jim,

No attachment found in search

Attached please find our responses to OGE's questions.

Best,
Dianna

Dianna M. Mullis

Associate

(b) (6)

Step toe

+1 (b) (6) direct
+1 (b) (6) cell
+1 202 429 3902 fax
Step toe & Johnson LLP
1330 Connecticut Avenue, NW
Washington, DC 20036
www.step toe.com

This message and any attached documents contain information from the law firm Step toe & Johnson LLP that may be confidential and/or privileged. If you are not the intended recipient, please do not read, copy, distribute, or use this information. If you have received this transmission in error, please notify the sender immediately by reply e-mail and then delete this message.

From: Schultz, James D. EOP/WHO [mailto:(b) (6)]
Sent: Monday, March 06, 2017 12:46 PM
To: Mullis, Dianna
Subject: FW: OGE Comments/Questions on CD request and New Entrant Report - Powell

Can you review, please. J

JAMES D. SCHULTZ

Senior Associate Counsel and Special Assistant to the President
Office of the White House Counsel

(b) (6) (o)

(b) (6) (m)

From: Lorna A. Syme [<mailto:lasyme@oge.gov>]

Sent: Wednesday, March 1, 2017 11:19 AM

To: Schultz, James D. EOP/WHO (b) (6)

Subject: OGE Comments/Questions on CD request and New Entrant Report - Powell

Hi Jim,

No attachment found in search

I am the OGE reviewer for Dina Powell's Certificate of Divestiture request and new entrant financial disclosure report. I've completed my initial review of the report and certificate of divestiture and have attached my comments. Please let me know if you have any questions or would like to discuss.

Thank you,

Lorna

Lorna Syme

Presidential Nominations Branch
U.S. Office of Government Ethics
1201 New York Ave., NW, Suite 500
Washington, DC 20005-3917
Telephone: (202) 482-9277
Facsimile: (202) 482-9237

Visit OGE's website: www.oge.gov

Follow OGE on Twitter: @OfficeGovEthics

OGE Confidential Notice: This email, including all attachments, may constitute a Federal record or other Government property that is intended only for the use of the individual or entity to which it is addressed. This email also may contain information that is privileged, confidential, or otherwise protected from disclosure under applicable law. If you are not the intended recipient or the employee or agent responsible for delivering the transmission to the intended recipient, you are hereby notified that any dissemination, distribution, copying or use of this email or its contents is strictly prohibited. If you have received this email in error,

please notify the sender by responding to the email and then immediately delete the email.

From: [Kimberly L. Sikora Panza](#)
To: [Monica M. G. Ashar](#)
Subject: CD docs
Date: Thursday, March 9, 2017 4:24:29 PM
Attachments: [notes.docx](#)
[Bannon CD request.msg](#)

3 page attachment withheld in full - (b)(3), (5), (6); 2 page attachment withheld in full - (b)(3), (5), (6). Remaining of the attachment released below (see page 36).

CD (have printed a copy already but never know if details will change!) –

(b)(6) - internal agency website

[REDACTED]

CD letter (ditto) –

(b)(6) - internal agency website

Working notes – attached

Questions sent to WH – in the folder printed, electronic version attached.

FD report – in integrity, also printed in folder

CD folder – on my desk

From: [Kimberly L. Sikora Panza](#)
To: (b)(6) - James Schultz's email address
Cc: [Kimberly L. Sikora Panza](#)
Subject: Bannon CD request
Date: Wednesday, March 8, 2017 2:47:50 PM
Attachments: [cd request format.pdf](#)

2 page attachment withheld in full -
(b)(3), (5), (6)

Good afternoon,

I am the OGE reviewer assigned to review the CD request for Mr. Bannon. I have the following questions regarding the CD request – thank you in advance for your input!

(b)(3), (5), (6)



Finally, for your future reference, attached please find the sample CD request format, which asks questions regarding the various pieces of information we need to process a CD request. Most agencies submit CD requests using this form, and using the form can help streamline the CD review process on our end.

Thank you in advance for your input.

Best regards,

Kim

From: [Mullis, Dianna](#)
To: [Lorna A. Syme](#)
Subject: RE: Powell
Date: Tuesday, March 14, 2017 10:39:59 AM

Sounds good. Thanks.

Dianna M. Mullis

Associate

(b) (6)

Steptoe

+1 (b) (6) direct Steptoe & Johnson LLP
+1 (b) (6) cell 1330 Connecticut Avenue, NW
+1 202 429 3902 fax Washington, DC 20036

www.steptoel.com

This message and any attached documents contain information from the law firm Steptoe & Johnson LLP that may be confidential and/or privileged. If you are not the intended recipient, please do not read, copy, distribute, or use this information. If you have received this transmission in error, please notify the sender immediately by reply e-mail and then delete this message.

From: Lorna A. Syme [mailto:lasyme@oge.gov]
Sent: Tuesday, March 14, 2017 10:36 AM
To: Mullis, Dianna
Subject: RE: Powell

Thank you for the information. I forwarded the CD to our General Counsel with the information that you provided. I'll let you know if he has any follow-up questions.

We will return her 278e in Integrity so that you can make the few notations about (b)(3), (5), (6). However, I may not be able to do that until tomorrow. I'll let you know when the report has been returned.

Thanks,

Lorna

From: Mullis, Dianna [[mailto:\(b\)\(6\)](#)]
Sent: Monday, March 13, 2017 12:41 PM
To: Lorna A. Syme
Subject: RE: Powell

Lorna,

Here is the additional information we received on (b)(3), (5), (6). Let me know if you'd like to set up a time to talk this afternoon.

(b)(3), (5), (6)



Dianna

From: Mullis, Dianna
Sent: Friday, March 10, 2017 5:29 PM
To: 'Lorna A. Syme'
Subject: RE: Powell

Hi Lorna,

2 page attachment withheld in full - (b)(3), (4), (5), (6)

(b) (5)

Attached please find responses to your questions below. We are still tracking down information regarding (b)(3), (5), (6)

Dianna

From: Lorna A. Syme [<mailto:lasyme@oge.gov>]
Sent: Thursday, March 09, 2017 6:32 PM
To: Mullis, Dianna
Subject: Powell

Dianna:

I know you are confirming the (b)(3), (5), (6) issue. These are my other questions – I thought it might be more useful to email them to you. Let me know if you still want to talk at 10am tomorrow or if you wish to look these over and talk later in the day (or feel free to respond by email).

(b)(3), (5), (6)

Thank you,

Lorna

Lorna Syme

Presidential Nominations Branch

U.S. Office of Government Ethics

1201 New York Ave., NW, Suite 500

Washington, DC 20005-3917

Telephone: (202) 482-9277

Facsimile: (202) 482-9237

Visit OGE's website: www.oge.gov

Follow OGE on Twitter: @OfficeGovEthics

OGE Confidential Notice: This email, including all attachments, may constitute a Federal record or other Government property that is intended only for the use of the individual or entity to which it is addressed. This email also may contain information that is privileged, confidential, or otherwise protected from disclosure under applicable law. If you are not the intended recipient or the employee or agent responsible for delivering the transmission to the intended recipient, you are hereby notified that any dissemination, distribution, copying or use of this email or its contents is strictly prohibited. If you have received this email in error, please notify the sender by responding to the email and then immediately delete the email.

OGE Confidential Notice: This email, including all attachments, may constitute a Federal record or other Government property that is intended only for the use of the individual or entity to which it is addressed. This email also may contain information that is privileged, confidential, or otherwise protected from disclosure under applicable law. If you are not the intended recipient or the employee or agent responsible for delivering the transmission to the intended recipient, you are hereby notified that any dissemination, distribution, copying or use of this email or its contents is strictly prohibited. If you have received this email in error, please notify the sender by responding to the email and then immediately delete the email.

From: [Kimberly L. Sikora Panza](#)
To: [Elaine Newton](#)
Subject: RE: CD
Date: Wednesday, March 15, 2017 9:49:48 AM

Yes, I will swing by then

From: Elaine Newton
Sent: Wednesday, March 15, 2017 9:47 AM
To: Kimberly L. Sikora Panza
Subject: RE: CD

Is 11:30 okay?

From: Kimberly L. Sikora Panza
Sent: Wednesday, March 15, 2017 9:33 AM
To: Elaine Newton
Subject: CD

Good morning, Elaine,

I have a handful of questions relating to the CD you recently assigned me. Could you let me know when you have a few minutes to chat?

Thanks,
Kim

From: [Elaine Newton](#)
To: ["Schultz, James D. EOP/WHO"](#)
Cc: [Sandra S. Mabry](#); [Teresa L. Williamson](#); [Rodrick T. Johnson](#); [Lorna A. Syme](#)
Subject: Certificate of Divestiture for Dina Powell
Date: Wednesday, March 15, 2017 4:30:22 PM
Attachments: [Powell, Dina CD 036.pdf](#)

OGE has created an automated tool to request copies of the CDs, available on OGE's website <https://extapps2.oge.gov/201/Presiden.nsf/All+Certificates+of+Divestiture>

Good Afternoon,

Attached is Certificate of Divestiture OGE-2017-036 for Dina Powell, Assistant to the President and Senior Counselor for Economic Initiatives, White House Office. We would appreciate your forwarding the certificate to Ms. Powell.

Please let us know when you would like to arrange for the pick-up of the original certificate.

Please confirm receipt of this email by replying to all.

Thank you,
Elaine Newton

Elaine Newton
Associate Counsel
U.S. Office of Government Ethics
1201 New York Ave., NW, Suite 500
Washington, DC 20005-3915
Telephone: 202-482-9265

From: [Kimberly L. Sikora Panza](#)
To: [Monica M. G. Ashar](#)
Date: Friday, March 24, 2017 8:17:51 PM

Hi Monica

I hope you enjoyed your day off! The weather was definitely crummy this morning, but it looks lovely now!

Wanted to share just a quick update re what I've got going on, though I don't anticipate anything should bother you on Monday.

(b) (5)



I don't anticipate anything emergent happening with those reports Monday, and can review updates/changes etc on my return.

Bannon CD- still waiting for the filer to sign the report and WH to get my answers to a couple of questions, I pinged Jim today for a status update. I can review anything that comes in on my return.

Kim

From: [Director of OGE](#)
To: [Vincent Salamone](#)
Subject: cohn
Date: Saturday, April 1, 2017 6:45:03 PM

Hi Vince,

(b) (5)



Thanks

Walt

Walter M. Shaub, Jr.
Director
U.S. Office of Government Ethics
1201 New York Avenue, NW, Suite 500
Washington, DC 20005-3917

Telephone: 202.482.9292
Email: walter.shaub@oge.gov

From: LNAPPS1.USOGE@oge.gov
To: [Kimberly L. Sikora Panza](#)
Subject: IMPORTANT - Form 278 [New Entrant] ACTION NEEDED - Review by assignee
Date: Monday, April 3, 2017 11:07:37 AM

Form 278 [New Entrant] for Stephen K. Bannon is pending ACTION: Review by assignee. Please click the link below.

(b)(6) - internal agency website

Please initiate your review within 5 days. You should contact the appropriate agency with any follow up questions and resolve outstanding issues within 30 days.

Remember to enter all required review and routing dates into FDTS prior to forwarding the report for certification.

From: [Elaine Newton](#)
To: [Deborah J. Bortot](#); [Heather A. Jones](#)
Subject: WH CDs
Date: Wednesday, April 5, 2017 11:14:23 AM

Here is a list of the CDs issued to White House employees. The Bannon CD is ready for review and signature and we just received the Pitcock CD, which I assigned to Stephanie because she has the report. I spoke to Scott De La Vega this morning and he said there will be a few more CD requests in the next week or so.

Jared Kushner (Monica)
Christopher Liddell (Sandy)
Gary Cohn (Vince)
Dina Powell (Lorna)
Stephen Bannon (Kim SP)
Kenneth Juster (Rachel)
Joshua Pitcock (just assigned to Stephanie)

From: [Elaine Newton](#)
To: [Kimberly L. Sikora Panza](#)
Subject: RE: COD PACKAGE
Date: Thursday, April 6, 2017 10:14:25 AM

Thank you.

-----Original Message-----

From: Kimberly L. Sikora Panza
Sent: Thursday, April 06, 2017 9:58 AM
To: Elaine Newton
Subject: RE: COD PACKAGE

Notes now saved in J drive folder. Thanks.

-----Original Message-----

From: Kimberly L. Sikora Panza
Sent: Thursday, April 06, 2017 9:55 AM
To: Elaine Newton
Subject: FW: COD PACKAGE

5 page attachment withheld in full - (b)(3), (5), (6)

Hi Elaine-

Here's the electronic copy, per your VM (b) (6)

I'll save my notes on the J drive now.

Thanks
Kim

-----Original Message-----

From: Elaine Newton
Sent: Monday, March 06, 2017 12:08 PM
To: Kimberly L. Sikora Panza
Subject: FW: COD PACKAGE

Kim,

Please review this new CD request for a White House staff member.

Thanks, Elaine

-----Original Message-----

From: David J. Apol
Sent: Monday, March 06, 2017 11:57 AM
To: Elaine Newton
Subject: FW: COD PACKAGE

Elaine,

Please assign this and let me know the lucky recipient. Thanks.

Dave

-----Original Message-----

From: Schultz, James D. EOP/WHO [[mailto:](#)(b) (6)]

Sent: Monday, March 06, 2017 11:01 AM
To: David J. Apol
Subject: COD PACKAGE

Dave - Attached please find the COD package for Mr. Bannon. His draft 278 is available for review on integrity.
Jim

JAMES D. SCHULTZ

Senior Associate Counsel and Special Assistant to the President Office of the White House Counsel

(b) (6) (o)
(b) (6) (m)

From: [Kimberly L. Sikora Panza](#)
To: [Deborah J. Bortot](#); [Heather A. Jones](#)
Subject: RE: New Entrant initial questions
Date: Thursday, April 6, 2017 3:11:51 PM

Bannon questions done and in the folder in the N drive (Finally!)

From: Kimberly L. Sikora Panza
Sent: Wednesday, April 05, 2017 9:15 PM
To: Deborah J. Bortot; Heather A. Jones
Subject: New Entrant initial questions

Hi –

The initial questions for the Gorka report are in the folder in the N drive.

I was hoping I could also get the Qs for my last NE report finished tonight, but they're taking much longer than I anticipated (b) (5).

At this point, I think it is best for me to put it down and be able to look at it with fresh eyes again before passing to you. (I understand you need that by COB tomorrow, I will be sure you have it by then.)

Thanks,

Kim

From: [Schultz, James D. EOP/WHO](#)
To: [Rodrick T. Johnson](#)
Cc: [Elaine Newton](#); [Sandra S. Mabry](#); [Teresa L. Williamson](#); [Kimberly L. Sikora Panza](#)
Subject: RE: Certificate of Divestiture for Stephen K. Bannon
Date: Wednesday, April 12, 2017 11:02:38 AM

We are in receipt of Mr. Bannon's COD.

JAMES D. SCHULTZ

Senior Associate Counsel and Special Assistant to the President
Office of the White House Counsel

(b) (6) (o)

(b) (6) (m)

From: Rodrick T. Johnson [mailto:rjohnson@oge.gov]

Sent: Wednesday, April 12, 2017 9:33 AM

To: Schultz, James D. EOP/WHO

Cc: Elaine Newton ; Sandra S. Mabry ; Teresa L. Williamson ; Kimberly L. Sikora Panza

Subject: Certificate of Divestiture for Stephen K. Bannon

Importance: High

Good Morning, Mr. Schultz:

OGE has created an automated tool to request copies of the CDs, available on OGE's website <https://extapps2.oge.gov/201/Presiden.nsf/All+Certificates+of+Divestiture>

Attached is the Certificate of Divestiture OGE-2017-031 for Stephen K.

Bannon, Assistant to the President and Chief Strategist to the President. We would appreciate your forwarding the original certificate to Mr. Bannon. Also enclosed is a copy of the certificate for your files.

The original certificate and one copy for your records will be mailed to you. We would appreciate your forwarding the original certificate to Mr. Bannon, upon receipt.

Please confirm receipt of this email by replying to all.

Thanks, Again

Rodrick Johnson

OGE Confidential Notice: This email, including all attachments, may constitute a Federal record or other Government property that is intended only for the use of the individual or entity to which it is addressed. This email also may contain information that is privileged, confidential, or otherwise protected from disclosure under applicable law. If you are not the intended recipient or the employee or agent responsible for delivering the transmission to the intended recipient, you are hereby notified that any dissemination, distribution, copying or use of this email or its contents is strictly prohibited. If you have received this email in error, please notify the sender by responding to the email and then immediately delete the email.

From: [CD](#)
To: [Monica M. G. Ashar](#)
Subject: FW: CD Request - S. Spicer
Date: Monday, May 1, 2017 10:36:48 AM
Attachments: [S. Spicer CD Request to OGE.pdf](#)
[S. Spicer 278e.pdf](#)

1 page attachment withheld in full - (b)(3), (5), (6); 278 financial disclosure report withheld in full - (b)(3) - Available at <https://extapps2.oge.gov/201/Presiden.nsf/PAS%20Index?OpenView>

-----Original Message-----

From: De La Vega, Scott [[mailto:](#) (b) (6)]
Sent: Monday, April 24, 2017 3:23 PM
To: CD
Cc: Elaine Newton
Subject: CD Request - S. Spicer

1 page attachment withheld in full - (b)(3), (5), (6); 278 financial disclosure report withheld in full - (b)(3) - Available at <https://extapps2.oge.gov/201/Presiden.nsf/PAS%20Index?OpenView>

Good Afternoon Ms. Newton:

The Office of the White House Counsel is forwarding you a request from Sean Spicer for a Certificate of Divestiture (CD) for four (4) assets. Mr. Spicer is an Assistant to the President and White House Press Secretary.

Attached, please find the formal request from Mr. Spicer pursuant to 5 CFR 2634.1005(a) as well as our office's formal request to OGE pursuant to 5 CFR 2634.1005(b). The second attachment is a copy of Mr. Spicer's financial disclosure report which should also be with OGE for certification.

Please do not hesitate to reach out to our office if you need further information.

Regards,

Scott A. de la Vega
Ethics Counsel
Office of the White House Counsel
(o) (b) (6) / (c) (b) (6)

From: [De La Vega, Scott](#)
To: [Monica M. G. Ashar](#)
Subject: Spicer
Date: Wednesday, May 10, 2017 3:51:58 PM
Attachments: [Spicer CD Request \(signed\).pdf](#)

2 page attachment withheld in full - (b)(3),
(5), (6)

Scott A. de la Vega
Ethics Counsel
Office of the White House Counsel
(o) (b) (6) / (c) (b) (6)

From: [Lorna A. Syme](#)
To: [Vincent Salamone](#)
Subject: FW: Cohn (b)(3), (6)
Date: Wednesday, May 10, 2017 6:30:14 PM
Attachments: [image002.png](#)

1 page attachment withheld in full -
(b)(3), (5), (6)

-----Original Message-----

From: Mullis, Dianna [mailto:(b)(6)]
Sent: Wednesday, May 10, 2017 6:15 PM
To: Lorna A. Syme
Subject: Re: Cohn (b)(3), (6)

1 page attachment withheld in full - (b)(3), (5), (6)

Lorna,

(b)(3), (5), (6). If we can't get the full list, could we get that one tonight?

Thanks very much. Feel free to call my cell at (b)(6)

Dianna

> On May 9, 2017, at 6:28 PM, Mullis, Dianna (b)(6) > wrote:

>

> Lorna,

>

> (b)(3), (5), (6)

>

> Thank you.

>

> Dianna

>

> From: Mullis, Dianna

> Sent: Wednesday, May 03, 2017 5:28 PM

> To: Lorna A. Syme (lasyme@oge.gov)

> Subject: FW: Cohn (b)(3), (6)

>

> Hi Lorna,

>

> I sent these to Jim late last week, but thought it might make sense to send them to you directly as well. (b)(3), (5), (6)

[REDACTED]

(b)(3), (6)



>
>
>
> As noted below, (b)(3), (5), (6) . Thanks so much.

>
> Dianna

>
> Dianna M. Mullis

> Associate

> (b) (6) >

> Steptoe

>
> +1 (b) (6) direct

> +1 (b) (6) cell

> +1 202 429 3902 fax

>
> Steptoe & Johnson LLP

> 1330 Connecticut Avenue, NW

> Washington, DC 20036

> www.step toe.com<<http://www.step toe.com>>

>
>
>
> This message and any attached documents contain information from the law firm Steptoe & Johnson LLP that may be confidential and/or privileged. If you are not the intended recipient, please do not read, copy, distribute, or use this information. If you have received this transmission in error, please notify the sender immediately by reply e-mail and then delete this message.

>
>
> From: Schultz, James D. EOP/WHO [[\(b\) \(6\)](mailto:(b) (6))]

> Sent: Monday, April 10, 2017 10:06 AM

> To: Mullis, Dianna

> Subject: FW: Cohn (b)(3), (6)

>
>
>
> JAMES D. SCHULTZ

> Senior Associate Counsel and Special Assistant to the President Office

> of the White House Counsel

> (b) (6) (o)

> (b) (6) (m)

>
> From: Lorna A. Syme [<mailto:lasyme@oge.gov>]

> Sent: Monday, April 10, 2017 10:05 AM

> To: Schultz, James D. EOP/WHO

> <James.D.Schultz@who.eop.gov<[\(b\) \(6\)](mailto:(b) (6))>

> Cc: Deborah J. Bortot <djbortot@oge.gov<<mailto:djbortot@oge.gov>>>

> Subject: FW: Cohn (b)(3), (6)

>
>
> Hi Jim:

>
>
(b)(3), (5), (6)

>
>
> (b)(3), (5), (6)
[REDACTED]

>
>
>
> Thanks!
>
> Lorna
>
>
> From: Deborah J. Bortot
> Sent: Wednesday, April 05, 2017 6:52 PM
> To: Lorna A. Syme
> Subject: FW: Cohn (b)(3), (6)
>
> Lorna,
>
> Please take a look at these (b)(3), (5), (6) and contact Jim.

>
> Thanks,
> Deb
>
> From: Schultz, James D. EOP/WHO [mailto:(b)(6)]
> Sent: Wednesday, April 05, 2017 5:02 PM
> To: Deborah J. Bortot
> Subject: FW: Cohn (b)(3), (6)
>

> Deb – who is the right person to deal with on this? Also, should I talk with you on a (b)(3), (6) ?

>
> JAMES D. SCHULTZ
> Senior Associate Counsel and Special Assistant to the President Office
> of the White House Counsel
> (b)(6) (o)
> (b)(6) (m)
>

> From: Mullis, Dianna [mailto:(b)(6)]
> Sent: Wednesday, April 5, 2017 4:16 PM
> To: Schultz, James D. EOP/WHO
> <James.D.Schultz@who.eop.gov> [mailto:(b)(6)] >>
> Subject: Cohn (b)(3), (6)
>

> Jim,
>
> (b)(3), (5), (6)
[REDACTED] Thank you.

>
> Best,
> Dianna
>
> [cid:image001.png@01D2AE23.FF7064D0]
>
> Dianna M. Mullis
> Associate

> (b) (6)
> Steptoe
>
> +1 (b) (6) direct
> +1 (b) (6) cell
> +1 202 429 3902 fax
>
> Steptoe & Johnson LLP
> 1330 Connecticut Avenue, NW
> Washington, DC 20036
> www.steptoe.com<<http://www.steptoe.com>>

>
>
>
> This message and any attached documents contain information from the law firm Steptoe & Johnson LLP that may be confidential and/or privileged. If you are not the intended recipient, please do not read, copy, distribute, or use this information. If you have received this transmission in error, please notify the sender immediately by reply e-mail and then delete this message.

>
>
>
>
>
> OGE Confidential Notice: This email, including all attachments, may constitute a Federal record or other Government property that is intended only for the use of the individual or entity to which it is addressed. This email also may contain information that is privileged, confidential, or otherwise protected from disclosure under applicable law. If you are not the intended recipient or the employee or agent responsible for delivering the transmission to the intended recipient, you are hereby notified that any dissemination, distribution, copying or use of this email or its contents is strictly prohibited. If you have received this email in error, please notify the sender by responding to the email and then immediately delete the email.
> <image002.png>

From: [David J. Apol](#)
To: [Elaine Newton](#)
Subject: FW: See attached.
Date: Wednesday, June 7, 2017 11:15:48 AM
Attachments: [CONWAY COD PACKAGE.PDF](#)

6 page attachment withheld in full -
(b)(3), (5), (6)

Sorry. Here it is

-----Original Message-----

From: Schultz, James D. EOP/WHO [[mailto:](#)(b) (6)]
Sent: Monday, June 05, 2017 7:49 PM
To: David J. Apol
Subject: See attached.

JAMES D. SCHULTZ

Senior Associate Counsel and Special Assistant to the President Office of the White House Counsel

(b) (6) (o)
(b) (6) (m)

From: [Passantino, Stefan C. EOP/WHO](#)
To: [Elaine Newton](#); [De La Vega, Scott](#)
Cc: [Monica M. G. Ashar](#); [Teresa L. Williamson](#); [Rodrick T. Johnson](#)
Subject: RE: Certificate of Divestiture for Sean M. Spicer
Date: Wednesday, June 7, 2017 2:33:51 PM

Received. Thank you.

STEFAN C. PASSANTINO
Deputy Counsel to the President, Compliance & Ethics
Office of the White House Counsel

From: Elaine Newton [mailto:enewton@oge.gov]
Sent: Wednesday, June 7, 2017 2:31 PM
To: Passantino, Stefan C. EOP/WHO (b) (6) De La Vega, Scott
(b) (6)
Cc: Monica M. G. Ashar <mmgashar@oge.gov>; Teresa L. Williamson <tlwillia@oge.gov>; Rodrick T. Johnson <rjohnson@oge.gov>; Elaine Newton <enewton@oge.gov>
Subject: Certificate of Divestiture for Sean M. Spicer

Good Afternoon:

OGE has created an automated tool to request copies of the CDs, available on OGE's website <https://extapps2.oge.gov/201/Presiden.nsl/All+Certificates+of+Divestiture>

Attached is Certificate of Divestiture OGE-2017-059 for Sean M. Spicer, Assistant to the President and White House Press Secretary. Please forward the certificate to Mr. Spicer.

The original certificate and one copy for your records will be mailed to you. We would appreciate your forwarding the original certificate to Mr. Spicer, upon receipt.

Please confirm receipt of this email by replying to all.

Thank you,
Elaine Newton

OGE Confidential Notice: This message may contain Controlled Unclassified Information (CUI) that requires safeguarding or dissemination control under applicable law, regulation, or Government-wide policy. This email, including all attachments, may constitute a Federal record or other Government property that is intended only for the use of the individual or entity to which it is addressed. If you are not the intended recipient or the employee or agent responsible for delivering the transmission to the intended recipient, you are hereby notified that any dissemination, distribution, copying or use of this email or its contents is strictly prohibited. If you have received this email in error, please notify the sender by responding to the email and then immediately delete the email.